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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/817,532	04/02/2004	David C. Myles	UPN-4377

CONFIRMATION NO. 4870

23377  
 WOODCOCK WASHBURN LLP  
 ONE LIBERTY PLACE, 46TH FLOOR  
 1650 MARKET STREET  
 PHILADELPHIA, PA 19103

## FORMALITIES LETTER



\*OC000000013494200\*

Date Mailed: 08/11/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

- \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

11/16/2004 FFANAI2 00000028 10817532

02 FC:2051

65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

S. Grooms

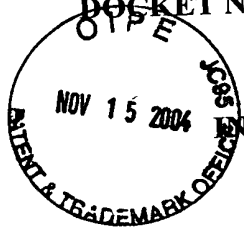
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PATENT

DOCKET NO.: UPN-4377



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

David C. Myles, Mark Burlingame, Simon

James Shaw, Kurt F. Sundermann, Brian

Scott Freeze, Ignacio Brouard Martin ,

Tomoyasu Hirose, Amos B. Smith III

Application No.: 10/817,532

Filing Date: April 2, 2004

Confirmation No.: 4870

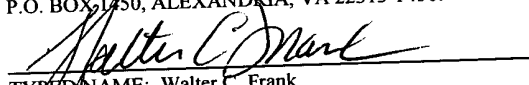
Group Art Unit: 1614

Customer No.: 23377

For: Synthetic Techniques And Intermediates For Polyhydroxy Dienyl Lactones And  
Mimics Thereof

DATE OF DEPOSIT: November 11, 2004

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
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TYPED NAME: Walter C. Frank  
REGISTRATION NO.: 52,911

MS MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND  
REQUEST FOR EXTENSION OF TIME**

In response to the "Notice to File Missing Parts of Application--Filing Date Granted"  
dated August 11, 2004, which sets forth a two-month period for reply ending on October 11,  
2004, (said period being eligible for extensions under 37 CFR § 1.136(a)), enclosed herewith

for filing is:

11/16/2004 FFANAI2 00000028 10817532

01 FC:2251

55.00 0P

**Declarations and Powers of Attorney**

- ☒ Combined Declaration and Power of Attorney, executed by inventors David C. Myles, Mark Burlingame, Simon James Shaw and Kurt F. Sundermann, and a Combined Declaration and Power of Attorney, executed by inventors Brian Scott Freeze, Ignació Brouard Martin, Tomoyasu Hirose, and Amos B. Smith III. An unexecuted copy of this document was attached to the above-identified specification.
- ☐ A Combined Declaration and Power of Attorney executed by the inventor(s) and identifying fewer/more inventors than those identified in the unexecuted declaration filed with the above-identified application specification. Such deletion/addition of inventors is specifically provided for in 37 CFR § 1.48.
- ☐ A copy of the original Declaration and Power of Attorney, executed by the inventor(s), as filed in parent application no.
- ☐ A copy of the Power of Attorney with Revocation filed on
- ☐ A Combined Power of Attorney with Revocation and Statement Under 3.73(b).
- ☐ An Associate Power of Attorney executed by \_\_\_\_\_ who is/was listed on the original Declaration/Power of Attorney.

**Drawings**

- ☐ \_\_\_\_\_ sheets of replacement drawings comprising Figures \_\_\_\_\_ in compliance with 37 CFR § 1.84.

**Sequence Listing** (Note - All items listed below are required if Sequence Listing is also being filed with Missing Parts)

- ☐ Paper copy of Sequence Listing
- ☐ Diskette containing Sequence Listing in computer readable form
- ☐ Amendment directing entry of Sequence Listing in specification
- ☐ Statement to Support Filing of Sequence Listing

**Claim for Small Entity Status**

- ☒ Applicant(s) has previously claimed small entity status under 37 CFR § 1.27.
- ☐ Applicant(s), by its/their undersigned attorney, claims small entity status under 37 CFR § 1.27 as:
- ☐ an Independent Inventor
- ☐ a Small Business Concern

☐ a Nonprofit Organization

**Miscellaneous Items**

- ☒ A copy of the Notice to File Missing Parts.
- ☐ Request for Corrected Filing Receipt.
- ☐ Request for Refund Due to Small Entity Status.
- ☐ Request for Refund Due to Fee Calculation Error.
- ☐ A substitute specification in compliance with 37 CFR § 1.52.
- ☐ Other: .

**Fee Calculation and Extension of Time**

- ☒ Request is hereby made under 37 CFR § 1.136(a) to extend the time for response to the Notice to File Missing Parts of Application due October 11, 2004 to and through November 11, 2004, comprising an extension of the shortened period of 1 months:

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
MISSING PARTS SURCHARGE	\$65	\$65	\$130	\$
<input checked="" type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$55	\$55	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$215	\$	\$430	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$490	\$	\$980	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$765	\$	\$1530	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$1040	\$	\$2080	\$
TOTAL FEE DUE		\$120.00		\$0

- ☒ A check in amount of \$120.00 is attached to cover the surcharge and any requested extension of time. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$ .
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 23-3050. This sheet is provided in duplicate.

DOCKET NO.: UPN-4377


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PATENT

- ☒ The Commissioner is hereby authorized to charge any underpayment or credit any overpayment of the fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is provided in duplicate.

This sheet is attached in duplicate.

Date: November 11, 2004

  
Walter C. Frank  
Registration No. 52,911

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

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